



## Quality Assurance Conditions for Suppliers.

### 1. **Raw Material Suppliers.**

The supplier shall apply due diligence to ensure that no raw materials are supplied that contain conflict minerals as defined by the Dodd-Frank Wall Street Reform & Consumer Protection Acts 2010. Furthermore, suppliers shall ensure that all smelters used have been validated as compliant with the Conflict-Free Smelters programme & are listed on the Compliant Smelters List for applicable metals.

### 2. **Conformity with Order.**

The supplier shall ensure that all items, materials and services supplied against Walker AEC (Poole) Ltd purchase order(s) have been manufactured, processed, inspected and tested strictly in accordance with the applicable drawing/specification requirements as stated on the order. The latest drawing/specification revision or issue number is considered applicable unless otherwise specified on the purchase order. Where a purchase order relates to more than one manufacturing batch, each batch MUST remain segregated at all times.

### 3. **Non-Conforming Supplies.**

Any items, materials or services, which do not conform to the order requirements, shall be rejected and the supplier's account will be debited accordingly. The supplier shall provide within 28 days details of the corrective action taken to prevent a recurrence; this may be documented on the applicable NCR or the supplier's own format. Rejected items/materials will be returned carriage chargeable to the supplier unless collected by the supplier's transport within 5 working days after notification from Walker AEC (Poole) Ltd. A supplier must notify Walker AEC Quality Department in writing IMMEDIATELY if a non-conformity is identified that may have an effect on product or service that has already been delivered. The extent (range of orders affected), the likely consequence of the nonconformance, the corrective actions and preventive actions are required to be fully documented.

### 4. **Release Procedure.**

All items, materials and services supplied to Walker AEC (Poole) Ltd shall be released in accordance with the purchase order requirements and shall be conveyed under cover of an approved Certificate of Conformity which must bear a unique serial number and include as a minimum:

Order No, Part No or Specification No (including applicable revision or issue No), Description of Supplies (including material condition, manufacture/cure date(s) etc), Quantity, Serial Numbers, Batch/Cast Numbers, Incoming Release Notes, any relevant limitations or qualifications, applicable concessions or agreed deviations and the supplier's relevant approval/registration number as stipulated on the order release requirements.

Each Certificate of Conformity must be signed by an approved signatory nominated by the supplier and shall include a statement that conveys the following:

*"Certified that the supplies detailed hereon have been manufactured, inspected, tested and unless otherwise stated conform in all respects to the purchase order requirements".*

### 5. **Packaging and Delivery.**

The supplier shall ensure that all supplies are adequately packaged and protected to prevent damage and deterioration during transit. Supplies shall be clearly identified by full description and to the order they relate to enable lot segregation/batch identity to be maintained also include any special instructions necessary for the safe handling, storage at the time of receipt by Walker AEC (Poole) Ltd.

### 6. **First Article Inspection Reports (F.A.I.R.).**

In accordance with the requirements of BS EN9102:2015 a First Article Inspection Report is required by Walker AEC (Poole) Ltd where the following conditions apply:

For all first-time manufacture, design engineering changes to the manufacturing data or any significant change to the manufacturing process that may affect conformance to design. Report to be retained by supplier for possible future reference unless otherwise specified in the purchase order text.

### 7. **Access to Supplier Premises.**

The supplier shall permit reasonable access to Walker AEC (Poole) Ltd, its customers and regulatory authorities for the purpose of surveillance activities and product verification. This requirement shall be flowed down to any sub-tier supplier in your supply chain used in support of any Walker AEC (Poole) Limited order.

### 8. **Quality Records**

The supplier shall maintain quality records relating to items, materials or services supplied to Walker AEC (Poole) Ltd in a suitable environment where access is controlled and provides effective protection from deterioration or accidental damage, the supplier shall also ensure continued readability of the records.

The quality records shall be made available to Walker AEC (Poole) Ltd or its customers and regulatory authorities upon request.

No quality records shall be disposed of without the written authorisation of Walker AEC (Poole) Ltd.

#### 9. **Counterfeit Goods**

The supplier shall maintain and monitor a procedure for the prevention of counterfeit materials or goods from its supplies and shall flow this requirement down to any sub-tier supplier. Furthermore, that should such measures fail, items found to be counterfeit in any way shall not be released and prevented from re-entering the supply chain. Counterfeit goods identified at Walker AEC will not be returned to their source.

#### 10. **Product Safety and Ethical Behaviour**

The supplier shall ensure that all persons within their organisation are aware of their contribution to product safety in assuring that product is manufactured, processed, inspected and handled in a safe and responsible manner that ensures product or service conformity, and the importance of ethical behaviour and the strict avoidance of bribery and corruption.

#### 11. **Modern Slavery Act**

All organisations conducting business with Walker AEC are required to comply with the Modern Slavery Act 2015. If you are unclear as to your obligations please go to

<https://www.gov.uk/government/publications/transparency-in-supply-chains-a-practical-guide>

#### 12. **Sanctions on Russia**

Walker AEC is committed to compliance with the Export Control and Sanctions laws and regulations. It is imperative that you ensure that you, your suppliers and sub-contractors comply with all applicable laws, including but not limited to compliance withal export controls and sanctions imposed on countries, companies, individuals and activities.

A similar ban on third country processed iron and steel is set out in Regulation 46IA and 46IB as part of Chapter 4CA of Part 5 of the Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023. As of 30 September 2023 Walker AEC must not be supplied Russian iron and steel products processed in a third country. This is in addition to existing restrictions on the import or purchase of items originating in Russia.

The Russia (Sanctions) (EU Exit) (Amendment) Regulations 2023 prohibits the import into the UK of iron and steel products when:

- The iron and steel product is listed in Schedule 3B of the regulation
- The iron and steel product has been “altered, transformed in any way; or subjected to any type of operation or process” in a third country
- The iron and steel product incorporates one or more products of Russian origin listed in Schedule 3B

In addition, you are required to be prepared to have documentation available to demonstrate evidence of a good’s supply chain, which must be consistent with the prohibitions under the regulations.

The Russia (Sanctions) (EU Exit) (Amendment) Regulation and associated guidance are linked below.

[The Russia \(Sanctions\) \(EU Exit\) \(Amendment\) Regulations 2023 \(legislation.gov.uk\)](#)

[Schedule 3B The Russia \(Sanctions\) \(EU Exit\) Regulations 2019 \(legislation.gov.uk\)](#)

[Guidance on third country processed iron and steel measures - GOV.UK \(www.gov.uk\)](#)